

# BT 3624  
2.13~4

PTO/SB/21 (modified)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	09/775,717	
	Filing Date	February 1, 2001	
	First Named Inventor	Beerud D. Sheth	
	Group Art Unit Number	3624	
	Examiner Name	Vincent A. Millin	
Total Number of Pages in This Submission	6*	Attorney Docket Number	21673-05635

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ENCLOSURES (check all that apply)	
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<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> 4 <sup>th</sup> Supplemental Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Copy of document entitled "Limited Recognition Under 37 CFR §10.9(b)"
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REMARKS: \*not including cited references

**GROUP 3600**

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Jae Won Song, 37 C.F.R. §10.9(b)	Dated:	January 23, 2004

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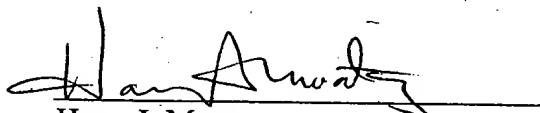
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UNITED STATE PATENT AND TRADEMARK OFFICE**

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Jae Won Song is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fenwick & West, LLP, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fenwick & West, LLP, and a registered practitioner, who is a member of the law firm of Fenwick & West, LLP, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Jae Won Song ceases to lawfully reside in the United States, (ii) Jae Won Song's employment with the law firm of Fenwick & West, LLP, ceases or is terminated, or (iii) Jae Won Song ceases to remain or reside in the United States on an H-1B visa.

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**GROUP 3600**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Beerud D. Sheth  
APPLICATION NO.: 09/775,717  
FILING DATE: February 1, 2001  
TITLE: Method and System for an On-Line Private Marketplace  
EXAMINER: Vincent A. Millin  
GROUP ART UNIT: 3624  
ATTY. DKT. NO.: 21673-05635

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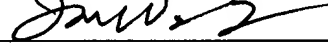
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By:   
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**4th SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this 4th Supplemental Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This 4th Supplemental Information Disclosure Statement is being filed:

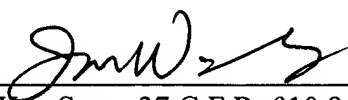
- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No.[APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ Applicant submits that no fee is required for the consideration of this 4th Supplemental Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
BEERUD D. SHETH

Dated: January 23, 2004

By:   
Jae Won Song, 37 C.F.R. §10.9(b)  
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	09/775,717
				Filing Date	February 1, 2001
				First Named Inventor	Beerud D. Sheth
				Art Unit	3624
				Examiner Name	Vincent A. Millin
Sheet	1	of	1	Attorney Docket Number	21673-05635

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A	US 6,161,099	12-12-2000	Harrington, et al.
	B	US 6,154,731	11-28-2000	Monks et al.
	C	US 6,128,624	10-03-2000	Papierniak et al.
	D	US 6,119,101	9-12-2000	Peckover
	E	US 6,101,482	8-08-2000	DiAngelo et al.
	F	US 6,092,049	7-18-2000	Chislenko, et al.
	G	US 6,078,906	6-20-2000	Huberman
	H	US 6,064,980	5-16-2000	Jacobi et al.
	I	US 6,061,665	5-09-2000	Bahreman
	J	US 6,049,777	4-11-2000	Sheena et al.
	K	US 6,041,307	3-21-2000	Ahuja et al.
	L	US 6,009,154	12-28-1999	Rieken et al.
	M	US 5,987,498	11-16-1999	Athing et al.
	N	US 4,799,156	1-17-1989	Shavit, et al.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

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**GROUP 3600**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.